

# Chapter 3

## Vanishing and Kodaira embedding theorem

### 3.1 Bochner methods and vanishing theorem

For a vector bundle  $E$  over a Riemannian manifold  $M$  with a connection  $\nabla^E$ , by taking a locally orthonormal basis, the usual Bochner Laplacian  $\Delta^E$  is defined by

$$\Delta^E = - \sum_{j=1}^{\dim_{\mathbb{R}} M} \left( (\nabla_{e_j}^E)^2 - \nabla_{\nabla_{e_j}^E e_j}^E \right). \quad (3.1.1)$$

We assume that the vector bundle  $E$  admits a Euclidean metric if it is real or a Hermitian metric if it is complex. We denote the corresponding metric by  $\langle \cdot, \cdot \rangle$ . We assume that the connection  $\nabla^E$  preserves the metric on  $E$ .

For  $s_1, s_2 \in \mathcal{C}^\infty(M, E)$  with compact support, we have

$$\begin{aligned} \int_M \langle \Delta^E s_1, s_2 \rangle dv &= \sum_{j=1}^{\dim_{\mathbb{R}} M} \int_M \langle \nabla_{e_j}^E s_1, \nabla_{e_j}^E s_2 \rangle dv - \int_M \text{tr}(\nabla \alpha) dv \\ &= \sum_{j=1}^{\dim_{\mathbb{R}} M} \int_M \langle \nabla_{e_j}^E s_1, \nabla_{e_j}^E s_2 \rangle dv = \int_M \langle s_1, \Delta^E s_2 \rangle dv, \end{aligned} \quad (3.1.2)$$

where  $\alpha(Y) = \langle \nabla_Y^E s_1, s_2 \rangle$ .

**Lemma 3.1.1.** *Let  $V$  be a real vector space with basis  $e_i$ . For any  $A \in \text{End}(V)$ , there exists a unique endomorphism  $\lambda(A)$ , which is called the **derivation**, on  $\Lambda V$ , such that it coincides with  $A$  on  $V$  and satisfies the Leibniz's*

rules:

$$\lambda(A)(a \wedge b) = A(a) \wedge b + a \wedge A(b), \quad (3.1.3)$$

where  $a, b \in \Lambda V$ . Explicitly, it is given by

$$\lambda(A) = \langle e^j, Ae_k \rangle e_j \wedge i_{e_k}. \quad (3.1.4)$$

*Proof.* The uniqueness is obvious. We only need to prove that (3.1.4) is a derivation. Firstly, for  $e_k \in V$ , we have  $\lambda(A)e_k = \langle e^j, Ae_k \rangle e_j = Ae_k$ . Secondly, the operator  $e_j \wedge i_{e_k}$  satisfies the Leibniz's rule (3.1.3).

The proof of our lemma is completed.  $\square$

**Theorem 3.1.2** (Weitzenböck's formula). *Let  $R$  be the curvature of the Levi-Civita connection on  $TM$ . Then*

$$(d + d^*)^2 = \Delta^{\Lambda T^* M} - \sum_{ijkl} R_{ijkl} e^k \wedge i_{e_l} e^i \wedge i_{e_j}. \quad (3.1.5)$$

In particular, on the space of one forms, we have

$$\Delta_{\mathbb{R}} = (d + d^*)^2 = \Delta^{\Lambda T^* M} + \text{Ric}(e_i, e_j) e^i \wedge i_{e_j}. \quad (3.1.6)$$

*Proof.* Let  $\nabla^{\Lambda T^* M}$  be the connection on  $\Lambda T^* M$  induced by the Levi-Civita connection  $\nabla$ . Let  $R^{\Lambda T^* M}$  be the curvature of  $\nabla^{\Lambda T^* M}$ . From (2.2.61) and (2.2.62), we have

$$d = e^j \wedge \nabla_{e_j}^{\Lambda T^* M}, \quad d^* = -i_{e_j} \nabla_{e_j}^{\Lambda T^* M}. \quad (3.1.7)$$

Since the formulas (3.1.5) and (3.1.6) do not depend on the choice of the locally orthonormal coordinates. We choose the normal coordinates. Notice that

$$e^i \wedge i_{e_j} + i_{e_j} e^i \wedge = \delta_{ij} \text{Id}. \quad (3.1.8)$$

We have

$$\begin{aligned} dd^* + d^*d &= -e^i \wedge i_{e_j} \nabla_{e_i}^{\Lambda T^* M} \nabla_{e_j}^{\Lambda T^* M} - i_{e_j} e^i \wedge \nabla_{e_j}^{\Lambda T^* M} \nabla_{e_i}^{\Lambda T^* M} \\ &= -\nabla_{e_i}^{\Lambda T^* M} \nabla_{e_i}^{\Lambda T^* M} - R^{\Lambda T^* M}(e_i, e_j) e^i \wedge i_{e_j}. \end{aligned} \quad (3.1.9)$$

Let  $R^{TM}$  be the curvature of the Levi-Civita connection  $\nabla$ . It is easy to see that  $R^{\Lambda T^* M}$  is the derivation of  $R^{TM}$ . By (3.1.4), we have

$$R^{\Lambda T^* M} = \langle e_k, R^{TM} e^l \rangle e^k \wedge i_{e_l} = \langle R^{TM} e_l, e_k \rangle e^k \wedge i_{e_l}. \quad (3.1.10)$$

Combining (3.1.9) and (3.1.10), we have

$$dd^* + d^*d = \Delta^{\Lambda T^*M} - R_{ijkl}e^k \wedge i_{e_l}e^i \wedge i_{e_j}. \quad (3.1.11)$$

From (3.1.8), we have

$$\begin{aligned} R_{ijkl}e^k \wedge i_{e_l}e^i \wedge i_{e_j} &= -R_{ijkl}e^k \wedge e^i \wedge i_{e_l}i_{e_j} + R_{ijki}e^k \wedge i_{e_j} \\ &= -R_{ijkl}e^k \wedge e^i \wedge i_{e_l}i_{e_j} - \text{Ric}(e_i, e_j)e^i \wedge i_{e_j}. \end{aligned} \quad (3.1.12)$$

Notice that the first term on the right-hand side vanishes on one forms. Then we get (3.1.6).

The proof of our theorem is completed.  $\square$

**Definition 3.1.3.** A function (resp. a twofold symmetric covariant tensor, etc) on a manifold is **quasi-positive** if it is everywhere nonnegative (resp. positive semi-definite, etc) and is positive (resp. positive definite, etc) at a point. **Quasi-negativity** is dually defined.

**Theorem 3.1.4** (Bochner 1946). *For a compact orientable Riemannian manifold  $M$  of nonnegative Ricci curvature, its first Betti number  $b_1 \leq \dim M$ , with the upper bound attained by the flat torus. If the Ricci curvature is quasi-positive, then  $b_1 = 0$ .*

*Proof.* From (3.1.2), for any  $\alpha \in \Omega^1(M)$ , then

$$\int_M \langle \Delta^{\Lambda T^*M} \alpha, \alpha \rangle dv = \sum_{j=1}^{\dim_{\mathbb{R}} M} \|\nabla_{e_j}^{\Lambda T^*M} \alpha\|_{L_2}^2 \geq 0. \quad (3.1.13)$$

If the Ricci curvature is quasi-positive, there exists  $x \in M$  such that  $\alpha = 0$  on a neighbourhood of  $x$ . Since

$$\int_M \langle \text{Ric}(e_i, e_j)e^i \wedge i_{e_j} \alpha, \alpha \rangle dv \geq 0, \quad (3.1.14)$$

by (3.1.6) and (3.1.13), we have  $\nabla^{\Lambda T^*M} \alpha = 0$ . So  $\alpha \equiv 0$ . Thus  $\ker \Delta_{\mathbb{R}} = 0$ . From the Hodge theorem 2.2.6, we have  $b_1 = 0$ .

If the Ricci curvature is nonnegative, we have

$$\int_M \langle \text{Ric}(e_i, e_j)e^i \wedge i_{e_j} \alpha, \alpha \rangle dv \geq 0. \quad (3.1.15)$$

If  $\alpha \in \ker \Delta_{\mathbb{R}}$ , from (3.1.6), (3.1.13) and (3.1.15), we have  $\nabla^{\Lambda T^*M} \alpha = 0$ . For any  $x \in M$ , we have

$$b_1 \leq \dim_{\mathbb{R}} \{\alpha_x : \nabla^{\Lambda T^*M} \alpha = 0\} = \dim_{\mathbb{R}} M. \quad (3.1.16)$$

Notice that for torus  $T^n$ ,  $H^n(T^n, \mathbb{R}) = H^1(S^1, \mathbb{R})^{\otimes n} = \mathbb{R}^n$ . Thus the proof of our theorem is completed.  $\square$

Now we consider the Kähler case.

Let  $(M, \omega)$  be a compact orientable Kähler manifold. Let  $E$  be a Hermitian holomorphic vector bundle over  $M$  with Hermitian connection  $\nabla^E$ . We simply denote by  $\Delta^{0,\cdot}$  the Laplacian with respect to the connection  $\nabla^{\Lambda T^{0,1}M \otimes E}$  induced by the connections  $\nabla^{T^{(0,1)}M}$  and  $\nabla^E$ . Recall that  $K_M^* = \Lambda^n(T^{1,0}M)$  and

$$\mathrm{tr} \left[ R^{T^{1,0}M} \right] = R^{K_M^*} = -\sqrt{-1} \mathrm{Ric}_\omega. \quad (3.1.17)$$

**Theorem 3.1.5** (Bochner-Kodaira). *Let  $E$  be a Hermitian holomorphic vector bundle over the Kähler manifold  $M$ . In a local holomorphic coordinate system,*

$$\begin{aligned} \square^E &= (\bar{\partial}^E + \bar{\partial}^{E,*})^2 = \frac{1}{2} \Delta^{0,\cdot} - \frac{1}{2} R^E(\theta_i, \bar{\theta}_i) \\ &\quad + \left( R^E + \frac{1}{2} \mathrm{tr} \left[ R^{T^{1,0}M} \right] \right) (\theta_j, \bar{\theta}_k) \bar{\theta}^k \wedge i_{\bar{\theta}_j}. \end{aligned} \quad (3.1.18)$$

*Proof.* By Theorem 1.2.15, we could choose the normal holomorphic coordinates. In this coordinates around  $x \in M$ , we have  $[\nabla, i_{\bar{\theta}_k}] = [\nabla, \bar{\theta}^k \wedge] = 0$  and  $[\bar{\theta}_j, \theta_k] = \nabla_{\bar{\theta}_j} \theta_k - \nabla_{\theta_k} \bar{\theta}_j = 0$  at  $x$ .

By (2.2.72) and (2.2.73),  $\bar{\partial}^E = \bar{\theta}^j \wedge \nabla_{\bar{\theta}_j}^{\Lambda T^{(0,1)}M \otimes E}$  and  $\bar{\partial}^{E,*} = -i_{\bar{\theta}_j} \nabla_{\theta_j}^{\Lambda T^{(0,1)}M \otimes E}$ .

We simply denote by  $\nabla^{0,\cdot} := \nabla^{\Lambda T^{(0,1)}M \otimes E}$ . Thus

$$\begin{aligned} \bar{\partial}^E \bar{\partial}^{E,*} + \bar{\partial}^{E,*} \bar{\partial}^E &= -\bar{\theta}^j \wedge i_{\bar{\theta}_k} \nabla_{\bar{\theta}_j}^{0,\cdot} \nabla_{\theta_k}^{0,\cdot} - i_{\bar{\theta}_k} \bar{\theta}^j \wedge \nabla_{\theta_k}^{0,\cdot} \nabla_{\bar{\theta}_j}^{0,\cdot} \\ &= -(\bar{\theta}^j \wedge i_{\bar{\theta}_k} + i_{\bar{\theta}_k} \bar{\theta}^j \wedge) \nabla_{\theta_k}^{0,\cdot} \nabla_{\bar{\theta}_j}^{0,\cdot} - \bar{\theta}^j \wedge i_{\bar{\theta}_k} \left( \nabla_{\bar{\theta}_j}^{0,\cdot} \nabla_{\theta_k}^{0,\cdot} - \nabla_{\theta_k}^{0,\cdot} \nabla_{\bar{\theta}_j}^{0,\cdot} \right) \\ &= -\nabla_{\theta_j}^{0,\cdot} \nabla_{\bar{\theta}_j}^{0,\cdot} + R^E(\theta_k, \bar{\theta}_j) \bar{\theta}^j i_{\bar{\theta}_k} + R^{T^{0,*}M}(\theta_k, \bar{\theta}_j) \bar{\theta}^j i_{\bar{\theta}_k}. \end{aligned} \quad (3.1.19)$$

By (2.2.71),

$$\sum_{i=1}^{2n} \nabla_{e_i}^{0,\cdot} \nabla_{e_i}^{0,\cdot} = \sum_{i=1}^n \left( \nabla_{\theta_i}^{0,\cdot} \nabla_{\bar{\theta}_i}^{0,\cdot} + \nabla_{\bar{\theta}_i}^{0,\cdot} \nabla_{\theta_i}^{0,\cdot} \right) = 2 \sum_{i=1}^n \nabla_{\theta_i}^{0,\cdot} \nabla_{\bar{\theta}_i}^{0,\cdot} - \sum_{i=1}^n R^{0,\cdot}(\theta_i, \bar{\theta}_i). \quad (3.1.20)$$

Since we choose the normal coordinates for Kähler manifold, by (2.2.71),  $\sum_{i=1}^{2n} \nabla_{e_i}^{TX} e_i = \sum_{i=1}^n \nabla_{\theta_i}^{TX} \bar{\theta}_i + \sum_{i=1}^n \nabla_{\bar{\theta}_i}^{TX} \theta_i = 0$ . So

$$-\nabla_{\theta_j}^{0,\cdot} \nabla_{\bar{\theta}_j}^{0,\cdot} = \frac{1}{2} \Delta^{0,\cdot} - \frac{1}{2} R^E(\theta_i, \bar{\theta}_i) - \frac{1}{2} R^{T^{0,*}M}(\theta_i, \bar{\theta}_i). \quad (3.1.21)$$

From Lemma 3.1.1,

$$R^{\Lambda T^{0,1*}M} = \langle \bar{\theta}_l, R^{T^{0,1*}M} \bar{\theta}^s \rangle \bar{\theta}^l \wedge i_{\bar{\theta}_s} = g(R\theta_s, \bar{\theta}_l) \bar{\theta}^l \wedge i_{\bar{\theta}_s}. \quad (3.1.22)$$

Thus

$$\begin{aligned} R^{T^{0,*}M}(\theta_k, \bar{\theta}_j) \bar{\theta}^j i_{\bar{\theta}_k} - \frac{1}{2} R^{T^{0,*}M}(\theta_i, \bar{\theta}_i) \\ = -R_{k\bar{j}s\bar{l}} \bar{\theta}^l \wedge i_{\bar{\theta}_s} \bar{\theta}^j \wedge i_{\bar{\theta}_k} + \frac{1}{2} R_{j\bar{j}s\bar{l}} \bar{\theta}^l \wedge i_{\bar{\theta}_s} \end{aligned} \quad (3.1.23)$$

By Bianchi Identity,  $R_{k\bar{j}s\bar{l}} + R_{s\bar{k}j\bar{l}} + R_{\bar{j}s\bar{k}l} = 0$ . Since  $R_{s\bar{k}j\bar{l}} = 0$ , we have

$$R_{k\bar{j}s\bar{l}} = R_{s\bar{j}k\bar{l}}. \quad (3.1.24)$$

As in (3.1.8), we have

$$\bar{\theta}^i \wedge i_{\bar{\theta}_j} + i_{\bar{\theta}_j} \bar{\theta}^i \wedge = \delta_{ij} \text{Id}. \quad (3.1.25)$$

So

$$\begin{aligned} R_{k\bar{j}s\bar{l}} \bar{\theta}^l \wedge i_{\bar{\theta}_s} \bar{\theta}^j \wedge i_{\bar{\theta}_k} = R_{k\bar{j}s\bar{l}} \bar{\theta}^l \wedge i_{\bar{\theta}_k} \bar{\theta}^j \wedge i_{\bar{\theta}_s} \\ = -R_{k\bar{j}s\bar{l}} \bar{\theta}^l \wedge i_{\bar{\theta}_s} \bar{\theta}^j \wedge i_{\bar{\theta}_k} + R_{k\bar{j}j\bar{l}} \bar{\theta}^l \wedge i_{\bar{\theta}_k} + R_{j\bar{j}s\bar{l}} \bar{\theta}^l \wedge i_{\bar{\theta}_s} \end{aligned} \quad (3.1.26)$$

It implies

$$-R_{k\bar{j}s\bar{l}} \bar{\theta}^l \wedge i_{\bar{\theta}_s} \bar{\theta}^j \wedge i_{\bar{\theta}_k} = -R_{j\bar{j}s\bar{l}} \bar{\theta}^l \wedge i_{\bar{\theta}_s}. \quad (3.1.27)$$

Recall that in (2.1.56), we get

$$\text{tr}[R^{T^{1,0}M}] = R^{K_M^*} = \text{Ric}_\omega. \quad (3.1.28)$$

Since

$$-R_{j\bar{j}s\bar{l}} = -R_{s\bar{l}j\bar{j}} = g(R(\theta_s, \bar{\theta}_l)\theta_j, \bar{\theta}_j) = \text{tr}[R^{T^{1,0}M}](\theta_s, \bar{\theta}_l), \quad (3.1.29)$$

We obtain the theorem.

Our proof of the theorem is completed.  $\square$

**Theorem 3.1.6.** *On a compact Kähler manifold  $M$  with quasi-positive bi-sectional curvature, we have  $h^{1,1} = 1$ .*

*Proof.* In this case,  $E = \Lambda(T^{*(1,0)}M)$ . We have

$$R^{\Lambda(T^{*(1,0)}M)}(\theta_i, \bar{\theta}_i) = R_{i\bar{i}\bar{i}\bar{s}}\theta^l \wedge i_{\theta_s} \quad (3.1.30)$$

and

$$R^{\Lambda(T^{*(1,0)}M)}(\theta_j, \bar{\theta}_k)\bar{\theta}^k \wedge i_{\bar{\theta}_j} = R_{j\bar{k}l\bar{s}}\theta^l \wedge i_{\theta_s}\bar{\theta}^k \wedge i_{\bar{\theta}_j}. \quad (3.1.31)$$

Thus by (3.1.18),

$$\square^E - \frac{1}{2}\Delta^{0,\cdot} = -\frac{1}{2}R_{i\bar{i}\bar{i}\bar{s}}\theta^l \wedge i_{\theta_s} + R_{j\bar{k}l\bar{s}}\theta^l \wedge i_{\theta_s}\bar{\theta}^k \wedge i_{\bar{\theta}_j} - \frac{1}{2}R_{j\bar{k}i\bar{i}}\bar{\theta}^k \wedge i_{\bar{\theta}_j}. \quad (3.1.32)$$

For harmonic real (1,1)-form  $\alpha$ , if we write  $\alpha = \sum_{i,j} \alpha_{ij}\theta^i \wedge \bar{\theta}^j$ , we have

$$\sum_{i,j} \alpha_{ij}\theta^i \wedge \bar{\theta}^j = \alpha = \bar{\alpha} = \overline{\alpha_{ij}}\bar{\theta}^i \wedge \theta^j = -\sum_{i,j} \overline{\alpha_{ji}}\theta^i \wedge \bar{\theta}^j. \quad (3.1.33)$$

Thus after an orthogonal transform, we could assume that  $\alpha$  could be written as  $\alpha = \sum_i \sqrt{-1}\alpha_i\theta^i \wedge \bar{\theta}^i$  where  $\alpha_i$  is a real-valued function. From (3.1.32), we have

$$\frac{1}{2}\Delta^{0,\cdot}\alpha = \frac{\sqrt{-1}}{2}R_{i\bar{i}l\bar{k}}\alpha_k\theta^l \wedge \bar{\theta}^k - \sqrt{-1}R_{i\bar{k}l\bar{i}}\alpha_i\theta^l \wedge \bar{\theta}^k + \frac{\sqrt{-1}}{2}R_{l\bar{k}i\bar{i}}\alpha_l\theta^l \wedge \bar{\theta}^k. \quad (3.1.34)$$

Taking the conjugation,

$$\begin{aligned} \sqrt{-1}R_{l\bar{k}i\bar{i}}\alpha_l\theta^l \wedge \bar{\theta}^k &= \sqrt{-1}R_{k\bar{l}i\bar{i}}\alpha_k\theta^k \wedge \bar{\theta}^l = -\sqrt{-1}R_{k\bar{l}i\bar{i}}\alpha_k\bar{\theta}^l \wedge \theta^k \\ &= \sqrt{-1}R_{k\bar{l}i\bar{i}}\alpha_k\theta^l \wedge \bar{\theta}^k = \sqrt{-1}R_{l\bar{k}i\bar{i}}\alpha_k\theta^l \wedge \bar{\theta}^k. \end{aligned} \quad (3.1.35)$$

So we have

$$\frac{1}{2}\Delta^{0,\cdot}\alpha = \sqrt{-1}R_{i\bar{i}l\bar{k}}\alpha_k\theta^l \wedge \bar{\theta}^k - \sqrt{-1}R_{i\bar{k}l\bar{i}}\alpha_i\theta^l \wedge \bar{\theta}^k. \quad (3.1.36)$$

From (3.1.2) and (3.1.24), for harmonic real (1,1)-form  $\alpha$ , we have

$$\begin{aligned} \sum_i \|\nabla_{e_i}^{0,\cdot}\alpha\|_{L_2}^2 &= -\int_M (2R_{i\bar{i}k\bar{k}}\alpha_k^2 + 2R_{i\bar{k}k\bar{i}}\alpha_i\alpha_k)dv \\ &= -\int_M R_{i\bar{i}k\bar{k}}(\alpha_i - \alpha_k)^2 dv. \end{aligned} \quad (3.1.37)$$

If the bisectional curvature is quasi-positive, we have  $\alpha_i = \alpha_k$  for any  $i, k$ . Thus  $\alpha = \phi \cdot \omega$ , where  $\phi$  is a real-valued function. Since  $\nabla_{e_i}^{0,\cdot}\alpha = 0$ , we see that  $\phi$  is a constant. Thus  $h^{1,1} = 1$ .

The proof of our theorem is completed.  $\square$

In general, a remarkable extension of Theorem 1.3.16 (Siu-Yau, Mori) exists.

**Theorem 3.1.7** (Mok 1988). *A compact Kähler manifold with quasi-positive bisectional curvature is biholomorphic to complex projective space.*

**Theorem 3.1.8.** *For negative holomorphic line bundle  $L$  over complex manifold  $M$ , we have  $H^0(M, L) = 0$  for  $p > 0$ .*

*Proof.* Take  $E = L$  in (3.1.18). If  $L$  is negative, by Definition 2.1.18, we have  $R^L(\theta_i, \bar{\theta}_i) = \sqrt{-1}R^L(\theta_i, J\bar{\theta}_i) < 0$ . Following the same arguments, we get our theorem.  $\square$

**Theorem 3.1.9.** *Let  $(M, \omega)$  be a compact Kähler manifold such that  $\text{Ric}_\omega$  is quasi-positive. Then  $h^{p,0} = 0$  for any  $p > 0$ .*

*Proof.* Let  $\alpha$  be a harmonic  $(p, 0)$ -form. Then by Theorem 3.1.5 and (3.1.22),

$$\Delta^{0,\cdot} \alpha = R^{\Lambda(T^{*(1,0)}M)}(\theta_i, \bar{\theta}_i)\alpha = R_{i\bar{i}l\bar{s}}\theta^l \wedge i_{\theta_s}\alpha \quad (3.1.38)$$

From Definition 2.1.18, if  $\text{Ric}_\omega$  is quasi-positive, then  $\text{Ric}_\omega(\cdot, J\cdot)$  is quasi-positive. From (1.3.17),

$$\text{Ric}_\omega(\theta_l, J\bar{\theta}_s) = -\sqrt{-1}\text{Ric}_\omega(\theta_l, \bar{\theta}_s) = R_{l\bar{s}\bar{i}i} = -R_{i\bar{i}l\bar{s}}. \quad (3.1.39)$$

So for any  $l, s$ ,  $R_{i\bar{i}l\bar{s}}$  is quasi-negative. So  $\int_M \langle R_{i\bar{i}l\bar{s}}\theta^l \wedge i_{\theta_s}\alpha, \alpha \rangle < 0$ . Since  $\int_M \langle \Delta^{0,\cdot} \alpha, \alpha \rangle \geq 0$ , we see that  $\alpha = 0$ .

The proof of our theorem is completed.  $\square$

**Corollary 3.1.10** (Kobayashi). *A compact connected Kähler manifold with positive Ricci curvature is simply connected.*

*Proof.* Since  $h^{p,q} = h^{q,p}$ , we see that for any  $p > 0$ ,  $h^{0,p} = 0$ . Notice that the only holomorphic functions on connected compact complex manifold are constants. Thus  $h^{0,0} = 1$ . So  $\chi_0(M) = \sum_{p=0}^n (-1)^p h^{0,p} = 1$ .

From the Myer's theorem, since  $M$  is compact and the Ricci tensor has the positive lower bound, the fundamental group  $\pi_1(M)$  is finite. Let  $\tilde{M}$  be the universal cover of  $M$ . Then  $\tilde{M}$  is compact with positive Ricci curvature. It implies that  $\chi_0(\tilde{M}) = 1$ . We lift the geometric structure of  $M$  onto  $\tilde{M}$ . Then we have

$$\int_{\tilde{M}} \text{Td}(T^{(1,0)}\tilde{M}) = |\pi_1(M)| \int_M \text{Td}(T^{(1,0)}M). \quad (3.1.40)$$

From the Hirzebruch-Riemann-Roch theorem,

$$\int_{\tilde{M}} \text{Td}(T^{(1,0)}\tilde{M}) = \chi_0(\tilde{M}) = 1 = \chi_0(M) = \int_M \text{Td}(T^{(1,0)}M). \quad (3.1.41)$$

So we get  $\pi_1(M) = 1$ .

The proof of our corollary is completed.  $\square$

**Corollary 3.1.11.** *Fano manifolds are simply connected.*

*Proof.* Let  $M$  be a Fano manifold. Then  $c_1(M) > 0$ . From the Calabi-Yau theorem 2.1.17, there exists a Kähler form  $\omega$  such that  $\text{Ric}_\omega > 0$ .

The proof is completed.  $\square$

**Theorem 3.1.12** (Nakano's inequality). *For holomorphic vector bundle  $E$  over a compact Kähler manifold  $M$ , and any  $s \in \Omega^p(M, E)$ ,*

$$\langle \square^E s, s \rangle_E \geq \langle [\sqrt{-1}R^E, \Lambda]s, s \rangle_E. \quad (3.1.42)$$

*Proof.* By Bochner-Kodaira-Nakano formula Theorem 2.2.23,

$$\begin{aligned} \langle \square^E s, s \rangle_E &= \|\bar{\partial}^E s\|_{L^2}^2 + \|\bar{\partial}^{E,*} s\|_{L^2}^2 \\ &= \|(\nabla^E)^{1,0} s\|_{L^2}^2 + \|(\nabla^E)^{1,0*} s\|_{L^2}^2 + \langle \sqrt{-1}[R^E, \Lambda]s, s \rangle_E. \end{aligned} \quad (3.1.43)$$

The proof of our theorem is completed.  $\square$

**Theorem 3.1.13.** *Let  $M$  be a compact complex manifold of complex dimension  $n$  and  $L$  be a positive holomorphic line bundle over  $M$ . Then*

(a) *(Kodaira vanishing theorem) if  $q > 0$*

$$H^q(M, L \otimes K_M) = 0; \quad (3.1.44)$$

(b) *(Nakano vanishing theorem) if  $p + q > n$ ,*

$$H^{p,q}(M, L) = 0. \quad (3.1.45)$$

*Proof.* Since  $L$  is positive,  $\omega = \frac{\sqrt{-1}}{2\pi} R^L$  is a positive  $(1, 1)$ -form. Let  $g^{TX}$  be the associated Kähler metric on  $TM$ . As  $\omega = \sqrt{-1}\theta^i \wedge \bar{\theta}^i$ , by (2.2.92), we have

$$[\omega, \Lambda] = \theta^i \wedge i_{\theta_i} - i_{\bar{\theta}_i} \bar{\theta}^i \wedge. \quad (3.1.46)$$

Thus for  $s \in \Omega^{p,q}(M, L)$ , we have

$$[\omega, \Lambda]s = (p + q - n)s. \quad (3.1.47)$$

Then the Nakano's inequality Theorem 3.1.12 implies that if  $\square^L s = 0$ , it follows that  $s = 0$  whenever  $p + q > n$ . By Hodge theorem for holomorphic vector bundle  $\Lambda^p(T^{*(1,0)}M) \otimes L$ , we get (b). (a) is a case of (b) for  $p = n$ .

The proof of our theorem is completed.  $\square$



**Theorem 3.1.14** (Kodaira-Serre vanishing theorem). *Let  $L$  be a positive holomorphic line bundle and  $E$  be a holomorphic vector bundle. Then there exists  $p_0 > 0$  such that for any  $p \geq p_0$ ,*

$$H^q(M, L^p \otimes E) = 0 \quad \text{for any } q > 0. \quad (3.1.48)$$

*Proof.* From (3.1.19), for any  $s \in \bigoplus_{p \geq 1} \Omega^{0,q}(M, L^p \otimes E)$ ,

$$\begin{aligned} \langle \square^{L^p \otimes E} s, s \rangle &= \|\bar{\partial}^{L^p \otimes E} s\|_{L_2}^2 + \|\bar{\partial}^{L^p \otimes E, *}\|_{L_2}^2 = \sum_{i=1}^n \|\nabla_{\bar{\theta}_i}^{0,i} s\|_{L_2}^2 \\ &\quad + \langle R^{L^p \otimes E}(\theta_j, \bar{\theta}_k) \bar{\theta}^k \wedge i_{\bar{\theta}_j} s, s \rangle + \langle R^{\Lambda T^{*(0,1)}M}(\theta_j, \bar{\theta}_k) \bar{\theta}^k \wedge i_{\bar{\theta}_j} s, s \rangle \\ &\geq p \langle R^L(\theta_j, \bar{\theta}_k) \bar{\theta}^k \wedge i_{\bar{\theta}_j} s, s \rangle + \langle R^{\Lambda T^{*(0,1)}M \otimes E}(\theta_j, \bar{\theta}_k) \bar{\theta}^k \wedge i_{\bar{\theta}_j} s, s \rangle. \end{aligned} \quad (3.1.49)$$

We identify the two form  $R^L$  with the Hermitian matrix  $\dot{R}^L \in \text{End}(T^{(1,0)}M)$  such that for  $X, Y \in T^{(1,0)}M$ ,

$$R^L(X, \bar{Y}) = \langle \dot{R}^L X, \bar{Y} \rangle. \quad (3.1.50)$$

After an orthogonal transform, we could assume that

$$\dot{R}^L(x) = \text{diag}(a_1(x), \dots, a_n(x)) \in \text{End}(T_x^{(1,0)}M). \quad (3.1.51)$$

Since  $L$  is positive, for any  $x \in M$  and  $1 \leq j \leq n$ ,  $a_j(x) > 0$ . So there exists  $C_0 > 0$  such that

$$\langle R^L(\theta_j, \bar{\theta}_k) \bar{\theta}^k \wedge i_{\bar{\theta}_j} s, s \rangle = \left\langle \sum_j a_j(x) \bar{\theta}^j \wedge i_{\bar{\theta}_j} s, s \right\rangle \geq C_0 \|s\|_{L_2}^2 \quad (3.1.52)$$

Thus from (3.1.49) and (3.1.52), there exists  $C_1 > 0$  such that

$$\langle \square^{L^p \otimes E} s, s \rangle \geq (C_0 p - C_1) \|s\|_{L_2}^2. \quad (3.1.53)$$

If  $p$  is taken large enough such that  $C_0 p - C_1 > 0$ , we have  $\ker \square^{L^p \otimes E} = 0$ . From the Hodge theory, we obtain the Kodaira-Serre vanishing theorem.  $\square$

For complex manifold, we also have the corresponding Bochner-Kodaira type formula. We only state here without proof.

Let  $M$  be a compact complex manifold and  $E$  be a holomorphic vector bundle over  $M$ . There are two natural connections: Levi-Civita connection  $\nabla$  and Chern connection  $\tilde{\nabla}$ . If the manifold is Kähler, they are equal.

Set

$$S := \tilde{\nabla} - \nabla. \quad (3.1.54)$$

Take  $S^B \in \Omega^1(M, \text{End}(TM))$  such that

$$g(S^B(U)V, W) = \frac{\sqrt{-1}}{2}((\partial - \bar{\partial})\omega)(U, V, W) \quad (3.1.55)$$

for any  $U, V, W \in TM$ . The **Bismut connection**  $\nabla^B$  on  $TM$  is defined by

$$\nabla^B := \nabla + S^B = \tilde{\nabla} + S^B - S. \quad (3.1.56)$$

Remark that the Bismut connection preserves the complex structure. Thus it induces a natural connection  $\nabla^B$  on  $\Lambda(T^{*(0,1)}M)$ . Let  $\nabla^{B, \Lambda^{0,\cdot}}$ ,  $\nabla^{B, \Lambda^{0,\cdot} \otimes E}$  be the connections on  $\Lambda(T^{*(0,1)}M)$ ,  $\Lambda(T^{*(0,1)}M) \otimes E$  defined by

$$\nabla^{B, \Lambda^{0,\cdot}} = \nabla^B + \langle S(\cdot)\theta_j, \bar{\theta}_j \rangle, \quad (3.1.57)$$

$$\nabla^{B, \Lambda^{0,\cdot} \otimes E} = \nabla^{B, \Lambda^{0,\cdot}} \otimes 1 + 1 \otimes \nabla^E. \quad (3.1.58)$$

For any  $v \in TM$  with the decomposition  $v = v^{1,0} + v^{0,1} \in T^{(1,0)}M \oplus T^{(0,1)}M$ , let  $\bar{v}^{1,0,*}$  be the metric dual of  $v^{1,0}$ . Then we set

$$c(v) := \sqrt{2}(\bar{v}^{1,0,*} \wedge -i_{v^{0,1}}) \in \text{End}(\Lambda(T^{*(0,1)}M)). \quad (3.1.59)$$

We verify easily that for  $U, V \in TM$ ,

$$c(U)c(V) + c(V)c(U) = -2g(U, V). \quad (3.1.60)$$

For a skew-adjoint endomorphism  $A$  of  $TM$ , from (3.1.59), we could calculate that

$$\begin{aligned} \frac{1}{4}g(Ae_i, e_j)c(e_i)c(e_j) &= -\frac{1}{2}g(A\theta_j, \bar{\theta}_j) + g(A\theta_l, \bar{\theta}_m)\bar{\theta}^m \wedge i_{\bar{\theta}_l} \\ &\quad + \frac{1}{2}g(A\theta_l, \theta_m)i_{\bar{\theta}_l}i_{\bar{\theta}_m} + \frac{1}{2}g(A\bar{\theta}_l, \bar{\theta}_m)i_{\bar{\theta}_l}i_{\bar{\theta}_m}\bar{\theta}^l \wedge \bar{\theta}^m \wedge. \end{aligned} \quad (3.1.61)$$

For  $i_1 < \dots < i_j$ , we define

$${}^c(e^{i_1} \wedge \dots \wedge e^{i_j}) = c(e_{i_1}) \cdots c(e_{i_j}). \quad (3.1.62)$$

Then by extending  $\mathbb{C}$ -linearly,  ${}^cA$  is defined for any  $A \in \Lambda(T^*M \otimes_{\mathbb{R}} \mathbb{C})$ .

**Theorem 3.1.15** (Bismut's Lichnerowicz formula). *Let  $\Delta^{B, \Lambda^{0,\cdot} \otimes E}$  be the Laplacian of  $\nabla^{B, \Lambda^{0,\cdot} \otimes E}$  as in (3.1.1). Let  $r^M$  be the scalar curvature of  $M$ . We have*

$$\begin{aligned} 2\Box^E &= 2(\bar{\partial}^E + \bar{\partial}^{E,*})^2 = \Delta^{B, \Lambda^{0,\cdot} \otimes E} + \frac{r^M}{4} + {}^c \left( R^E + \frac{1}{2} \text{tr} [R^{T^{1,0}M}] \right) \\ &\quad + \frac{\sqrt{-1}}{2} {}^c(\partial\bar{\partial}\omega) - \frac{1}{8} |(\partial - \bar{\partial})\omega|^2. \end{aligned} \quad (3.1.63)$$

Remark that it generalises the Bochner-Kodaira for Kähler case.